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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/714,243
	Filing Date	November 13, 2003
	First Named Inventor	Bomy Chen
	Art	2818
	Examiner	Not yet assigned
Total Number of Pages in This Submission	Attorney Docket Number	2102397-992740

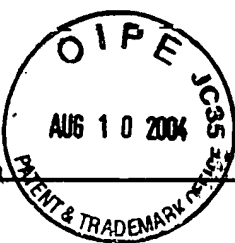
ENCLOSURES (Check all that apply)		
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<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Ronald L. Yin Gray Cary Ware & Freidenrich
Signature	<i>Ronald L. Yin</i>
Date	July 22, 2004

CERTIFICATE OF TRANSMISSION/MAILING			
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT	Applicants:	Chen, et al.
	Application No.	10/714,243
	Filed:	November 13, 2003
	For:	A Stacked Gate Memory Cell With Erase To Gate, Array, And Method Of Manufacturing
	Group Art Unit:	2818
	Examiner:	Not yet assigned
	Attorney Docket No.:	2102397-992740

Commissioner of Patents and Trademarks P.O. Box 1450 Alexandria, VA 22313-1450		
Dear Sir:		
In accordance with the provisions of 37 C.F.R. § 1.56(a) and 37 C.F.R. § 1.97, Applicant(s) hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application.		
This Information Disclosure Statement:		
(a)	<input type="checkbox"/>	accompanies a new patent application submitted herewith.
(b)	<input checked="" type="checkbox"/>	is filed within three (3) months of the Filing Date or before the mailing date of a First Office Action on the merits; OR
(c)	<input type="checkbox"/>	after the period defined in (b) but before the mailing date of a Final Rejection or Notice of Allowance, OR
(d)	<input type="checkbox"/>	is filed after the first Office Action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned prior to the mailing date of either a final rejection or a notice of allowance, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below OR
(e)	<input type="checkbox"/>	is filed after the mailing date of either a final rejection or a notice of allowance, and the issue fee has not been paid, and is accompanied by the requisite petition fee (\$130) set forth in 37 CFR § 1.17(l)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.
As required under § 1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:		
(f)	<input type="checkbox"/>	Each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of the Information Disclosure Statement; or

(g)	<input type="checkbox"/>	No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.
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It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application. A copy of the cited reference is enclosed.

The Commissioner is authorized to charge any deficiencies and credit any overpayment of fees to our Deposit Account No. 07-1896

Respectfully submitted,

Date: July 22, 2004

GRAY CARY WARE & FREIDENRICH LLP

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ATTORNEY DOCKET NO. 2102397-992740

Form PTO-1449 (modified 2/91) INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	U.S. DEPT. OF COMMERCE Patent and Trademark Office	Attorney Docket Number: 2102397-992740	Serial Number: 10/714,243
	Applicants: Chen, et al.		
	Filing date: November 13, 2003	Group art unit: 2818	

U.S. PATENT DOCUMENTS

Examiner Initial	Patent No. / Application No.	Date	Name	Class	Sub-class	Filing date if appropriate

FOREIGN PATENT DOCUMENTS

	Document number	Date	Country	Class	Sub-class	Translation (Abstract Only)	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Hayashi et al., "A Self-Aligned Split-Gate Flash EEPROM Cell With 3-D Pillar Structure," pp. 87-88, 1999 Symposium on VLSI Technology Digest Of Technical Papers, Center for Integrated Systems, Stanford University, Stanford, CA 94305, USA.

Examiner:	Date Considered:
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP '609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.	